

PLANT PATENT APPLICATION (35 U.S.C. 161) DECLARATION 937 C.F.R 1.63)		Attorney Docket No.: <b>P1691USA</b>
<input checked="" type="checkbox"/> Declaration Submitted With Initial Filing <input type="checkbox"/> Declaration Submitted After Initial Filing (surcharge (37 CFR 1.16(e)) required)		First Named Inventor: Andres Smaal
<i>COMPLETE IF KNOWN</i>		
Application Number:		
Filing Date:		
Group Art Unit:		
Examiner Name:		

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed) or an original, first, and joint inventor (if plural names are listed) of the new and distinct variety of **Kalanchoe** plant named '**Cassandra**', which is claimed and for which a plant patent is sought, the specification of which:

is attached hereto; or  
 was filed on \_\_\_\_\_  
 as United States Application Serial No. \_\_\_\_\_  
 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I have asexually reproduced the plant to which this application applies.

Said plant was found in a cultivated area (*check this box for newly found plant only*).

I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Numbers	Country	Foreign Filing Date	Check Only If Priority Not Claimed	Certified Copy Attached?	
				YES	NO
20000739	Europe	May 10, 2000	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
KLN284	Netherlands	May 25, 2000	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
01-2518	Canada	January 11, 2001	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto.

I hereby claim the benefit under 35 U.S.C. 119 (e) of any United States application listed below.

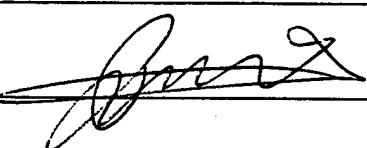
Application Number(s)	Filing Date	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Lawrence J. Chapa	Reg. No. 39,135	Paul M. Odell	Reg. No. 28,332
Randall T. Erickson	Reg. No. 33,872	Elaine M. Ramesh	Reg. No. 43,032
Stephen D. Geimer	Reg. No. 28,846	Keith V. Rockey	Reg. No. 24,713
Allen J. Hoover	Reg. No. 24,103	John F. Rollins	Reg. No. 38,013
Martin L. Katz	Reg. No. 25,011	Thomas I. Ross	Reg. No. 29,275
Kathleen A. Lyons	Reg. No. 31,852	Joel E. Siegel	Reg. No. 25,440
John P. Milnamow	Reg. No. 20,635	Paul M. Vargo	Reg. No. 29,116
Lisa V. Mueller	Reg. No. 38,978		

whose mailing address for this application is:      ROCKEY, MILNAMOW & KATZ, LTD.  
Two Prudential Plaza - Suite 4700  
180 North Stetson Avenue  
Chicago, Illinois 60601  
Telephone: (312) 616-5400  
Facsimile: (312) 616-5460

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: A. Smaal	
Citizenship: Aalsmeer	
Residence: Lunawang 9	
Post Office Address (if different): 1431 XG Aalsmeer	
Signature: 	Date: 20/02/2001

Name of Additional Inventor, if any:	
Citizenship:	
Residence:	
Post Office Address (if different):	
Signature:	Date:



JANUARY 23, 2002

PTAS

Chief Financial Officer and Chief Administrative Officer  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

GARDNER, CARTON & DOUGLAS  
LISA V. MUELLER  
321 N. CLARK STREET, SUITE 3400  
CHICAGO, IL 60610



\*101905704A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/19/2001

REEL/FRAME: 012326/0819

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SMAAL, ANDRES

DOC DATE: 02/22/2001

ASSIGNEE:  
A.B. BREEDING BV  
AALSMEERDERWEG 300 A  
1432 CX AALSMEER, NETHERLANDS

SERIAL NUMBER: 09993459  
PATENT NUMBER:

FILING DATE: 11/19/2001  
ISSUE DATE:

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12-04-2001



Docket No.: P1691 USA LVM/CE4

HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings ➡ ➡ ➡ ➡ ➡

101905704

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Andres Smaal

11/19/01

Additional names(s) of conveying party(ies)

Yes  No

3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: February 22, 2001

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: November 19, 2001

Patent Application No.   Filing date

B. Patent No.(s)

NOT ASSIGNED YET

11/29/2001 MBERHE 00000031 09993459

02 FC:581

40.00 DP

Additional numbers

Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lisa V. Mueller - Gardner, Carton & Douglas

Registration No. 38,978

Address: 321 North Clark Street, Suite 3400

City: Chicago State/Prov.: Illinois

Country: USA ZIP: 60610

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): .....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

07-0181

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Lisa V. Mueller

Name of Person Signing

Signature

November 19, 2001

Date

3

Total number of pages including cover sheet, attachments, and

# Assignment

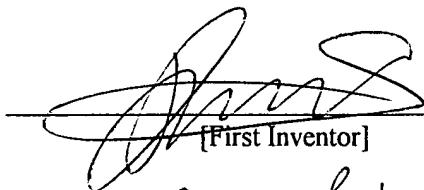
Serial No.: \_\_\_\_\_

Filed: \_\_\_\_\_

**In Consideration** of One Dollar and other good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in **Kalanchoe plant named Cassandra** and in the application for Letters Patent of the United States therefor, executed by the undersigned concurrently herewith, and in any reissue or extension of any Letters Patent that may be granted upon said application are hereby assigned by the undersigned to **A.B. Breeding BV**, having offices at **Aalsmeerderweg 300 A, 1432 CX Aalsmeer, The Netherlands** and the heirs, successors, legal representatives and assigns of **A.B. Breeding** (hereinafter collectively called said Assignee), and the Commissioner of Patents and Trademarks is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

**For said considerations** it is hereby agreed by the undersigned, upon the request of said Assignee, to execute any necessary and proper oaths or affidavits relating to said application or required for the filing or prosecution of any divisional or continuing application thereof or for the filing or prosecution of any application for the reissue or extension of any Letters Patent that may be granted on said invention or improvements that said Assignee may deem necessary or expedient, and for the said considerations it is further agreed by the undersigned, upon the request of said Assignee, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said Assignee in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and further to perform, upon such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in the said Assignee as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made. And for the said considerations the entire right, title and interest in said invention or improvements, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other Conventions, and the right to file applications for patent in said Assignee's own name for said invention or improvements in each and every country of the world are hereby assigned and granted by the undersigned to said Assignee. It is further agreed by the undersigned, upon the request of said Assignee, to execute any and all documents that shall be required of the undersigned to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee. The undersigned also further agrees, for the said considerations, upon the request of said Assignee, to promptly perform all lawful acts deemed by said Assignee to be necessary or advisable in connection with maintaining, enforcing, or transferring the resulting grants of said Letters Patent in the United States or foreign countries. It is agreed that such lawful acts include, but are not limited to, taking oaths, executing declarations, powers, assignments and other papers and giving testimony.

The attorneys of record in such application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the date and serial number thereof in the places provided therefor.

  
\_\_\_\_\_  
[First Inventor]

Executed this 22 day of February, 2001.

State of Netherlands)

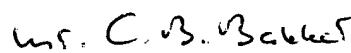
) ss.

County of Holland)

On 22 February, 2001, A. Smaal appeared before me, a Notary Public, in and for the State and County aforesaid, and acknowledged that he/she freely and voluntarily subscribed and executed the foregoing Assignment for the purposes and uses therein expressed.

(SEAL)





Notary Public

\* My Commission Expires: \_\_\_\_\_

\* \* \* \* \*

<b>PLANT PATENT APPLICATION (35 U.S.C. 161) DECLARATION 937 C.F.R 1.63)</b>		Attorney Docket No.: <b>P1691USA</b>	
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<b>COMPLETE IF KNOWN</b>			
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		Filing Date:	
		Group Art Unit:	
		Examiner Name:	

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01-2518	Canada	January 11, 2001	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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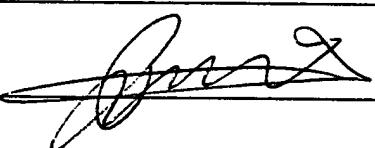
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Stephen D. Geimer	Reg. No. 28,846	Keith V. Rockey	Reg. No. 24,713
Allen J. Hoover	Reg. No. 24,103	John F. Rollins	Reg. No. 38,013
Martin L. Katz	Reg. No. 25,011	Thomas I. Ross	Reg. No. 29,275
Kathleen A. Lyons	Reg. No. 31,852	Joel E. Siegel	Reg. No. 25,440
John P. Milnamow	Reg. No. 20,635	Paul M. Vargo	Reg. No. 29,116
Lisa V. Mueller	Reg. No. 38,978		

whose mailing address for this application is:      ROCKEY, MILNAMOW & KATZ, LTD.  
Two Prudential Plaza - Suite 4700  
180 North Stetson Avenue  
Chicago, Illinois 60601  
Telephone: (312) 616-5400  
Facsimile: (312) 616-5460

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: A. Smaal	
Citizenship: Aalsmeer	
Residence: Lunalaan 9	
Post Office Address (if different): 1431 XG Aalsmeer	
Signature: 	Date: 20/02/2001

Name of Additional Inventor, if any:	
Citizenship:	
Residence:	
Post Office Address (if different):	
Signature:	Date:



JANUARY 23, 2002

PTAS

Chief Financial Officer and Chief Administrative Officer  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

GARDNER, CARTON & DOUGLAS  
LISA V. MUELLER  
321 N. CLARK STREET, SUITE 3400  
CHICAGO, IL 60610



\*101905704A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/19/2001

REEL/FRAME: 012326/0819

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SMAAL, ANDRES

DOC DATE: 02/22/2001

ASSIGNEE:

A.B. BREEDING BV  
AALSMEERDERWEG 300 A  
1432 CX AALSMEER, NETHERLANDS

SERIAL NUMBER: 09993459

FILING DATE: 11/19/2001

PATENT NUMBER:

ISSUE DATE:

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

12-04-2001



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101905704

Docket No.: P1691 USA LVM/CTA

HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Andres Smaal

11/19/01

Additional names(s) of conveying party(ies)

Yes  No

3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: February 22, 2001

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: November 19, 2001

Patent Application No.   Filing date

B. Patent No.(s)

NOT ASSIGNED YET

11/23/2001 HBERHE 00000031 09993459

02 FC:581

40.00 DP

Additional numbers

Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lisa V. Mueller - Gardner, Carton & Douglas

Registration No. 38,978

Address: 321 North Clark Street, Suite 3400

City: Chicago State/Prov.: Illinois

Country: USA ZIP: 60610

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): ..... \$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

07-0181

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Lisa V. Mueller

Name of Person Signing

Signature

November 19, 2001

3

Date

Total number of pages including cover sheet, attachments, and

# Assignment

Serial No.: \_\_\_\_\_

Filed: \_\_\_\_\_

**In Consideration** of One Dollar and other good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in **Kalanchoe plant named Cassandra** and in the application for Letters Patent of the United States therefor, executed by the undersigned concurrently herewith, and in any reissue or extension of any Letters Patent that may be granted upon said application are hereby assigned by the undersigned to **A.B. Breeding BV**, having offices at **Aalsmeerderweg 300 A, 1432 CX Aalsmeer, The Netherlands** and the heirs, successors, legal representatives and assigns of **A.B. Breeding** (hereinafter collectively called said Assignee), and the Commissioner of Patents and Trademarks is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

**For said considerations** it is hereby agreed by the undersigned, upon the request of said Assignee, to execute any necessary and proper oaths or affidavits relating to said application or required for the filing or prosecution of any divisional or continuing application thereof or for the filing or prosecution of any application for the reissue or extension of any Letters Patent that may be granted on said invention or improvements that said Assignee may deem necessary or expedient, and for the said considerations it is further agreed by the undersigned, upon the request of said Assignee, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said Assignee in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and further to perform, upon such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in the said Assignee as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made. And for the said considerations the entire right, title and interest in said invention or improvements, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other Conventions, and the right to file applications for patent in said Assignee's own name for said invention or improvements in each and every country of the world are hereby assigned and granted by the undersigned to said Assignee. It is further agreed by the undersigned, upon the request of said Assignee, to execute any and all documents that shall be required of the undersigned to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee. The undersigned also further agrees, for the said considerations, upon the request of said Assignee, to promptly perform all lawful acts deemed by said Assignee to be necessary or advisable in connection with maintaining, enforcing, or transferring the resulting grants of said Letters Patent in the United States or foreign countries. It is agreed that such lawful acts include, but are not limited to, taking oaths, executing declarations, powers, assignments and other papers and giving testimony.

The attorneys of record in such application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the date and serial number thereof in the places provided therefor.



James [First Inventor]

Executed this 22 day of February, 2001.

State of Netherlands)

County of Holland )  
 ) ss.

On 22 February, 2001, A. Smaal appeared before me, a Notary Public, in and for the State and County aforesaid, and acknowledged that he/she freely and voluntarily subscribed and executed the foregoing Assignment for the purposes and uses therein expressed.

(SEAL)



Mr. C. B. Babbitt

**Notary Public**

My Commission Expires:

— \* —